FY 20/21

## DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU DISCIPLINARY ACTION REPORT

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Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	August 2021			
	INVESTMENT/SECURITIES			
CADILLAC / LAKE CITY	RYAN CICCHELLI Unregistered CRD# 7241691 Complaint Nos. 343279, 343337 & 343606	8/18/2021	1. Fine \$2,500	Violation of Securities Act and/or Rule Violation
WIXOM	PROFITS RUN, INC. Unregistered Complaint No. 343098	8/31/2021	2. Fine \$2,000	Violation of Securities Act and/or Rule Violation